FORM PTO 1390 (REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER 2001\_1827A

International Application No.

PCT/NO00/00222

International Filing Date June 26, 2000

Priority Date Claimed June 25, 1999

Title of Invention

ARRANGEMENT FOR EQUIPMENT RELATED TO HORIZONTAL, CONTINUOUS CASTING OF METAL

Applicant(s) For DO/EO/US

Inge JOHANSEN, Geir MÆLAND and Åge STRØMSVÅG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [X] has been transmitted by the International Bureau. ATTACHMENT A
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] A copy of International Application as filed (35 U.S.C. §371(c)(2)). ATTACHMENT B
- 7. [] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

### Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT D
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment. ATTACHMENT E
  - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information: <u>Unexecuted</u> Declaration and Power of Attorney ATTACHMENT C

Form PCT/IB/304 - ATTACHMENT F

Form PCT/IPEA/409 - ATTACHMENT G

U.S. APPLICATION OF NEW	U.S. APPLICATION NO. 11-0-6-690 INTERNATIONAL APPLICATION NO. PCT/NO00/00222			ATTORNEY'S DOCKET NO. 2001_1827A	
15. [X] The following fees are submitted				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FE Neither international preliminary and International Search Report International Search Report has b International preliminary examina paid to USPTO					
ENTER APPROI	PRIATE BASIC	FEE AMOUNT =		\$1,040.00	
Surcharge of \$130.00 for furnishing claimed priority date (37 CFR 1.4	_	on later than [] 20 [] 30 months fr	om the earliest	\$	
. Claims	Number Filed	Number Extra	Rate		
Total Claims	8 -20 =	-0-	X \$18.00	\$	
Independent Claims	1 - 3 =	0	X \$84.00	\$	
Multiple dependent claim(s) (if ap	plicable)		+ \$280.00	\$	
TOTAL	OF ABOVE CA	ALCULATIONS =		\$1,040.00	
Small Entity Status is here	by asserted. Above fee	s are reduced by 1/2.		\$	
		SUBTOTAL =	-	\$1,040.00	
Processing fee of \$130.00 for fur claimed priority date (37 CFR 1.4		slation later than [] 20 [] 30 month	ns from the earliest	\$	
	TOTAL NA	ATIONAL FEE =		\$1,040.00	
Fee for recording the enclosed as appropriate cover sheet (37 CFR	<del>-</del>		ompanied by an	\$	
	\$1,040.00				
				Amount to be refunded	\$
				Amount to be charged	\$
a. [X] A check in the amount of \$1,040.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.					
b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.					
c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					



PATENT TRADEMARK OFFICE

By:

Michael S. Huppert, Registration No. 40,268

WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250

December 13, 2001

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# JC13 Rec'd PCT/PTC 1 3 DEC 2001

# #46

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Inge JOHANSEN et al.

Attn: BOX PCT

Serial No. NEW

Docket No. 2001\_1827A

Filed December 13, 2001

ARRANGEMENT FOR EQUIPMENT RELATED TO HORIZONTAL, CONTINUOUS CASTING OF METAL
[Corresponding to PCT/NO00/00222
Filed June 26, 2000]

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

### PRELIMINARY AMENDMENT

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Prior to initial examination of the above-identified application, kindly amend the application as follows:

#### IN THE CLAIMS:

Kindly amend claims 3 and 4 as follows:

- 3.(Amended) Equipment according to claim 1, characterised in that the mould housing (8) is made of steel.
- 4.(Amended) Equipment according to claim 1, characterised in that the mould housing includes two parts (8,9) with an intermediate cooling channel (14) where a thermally insulating annular plate (28) is arranged against the first part (8) which surrounds the permeable material (12, 12) in the cavity (17) in order to reduce the thermal transfer to the cavity.

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## Kindly add the following new claims:

6.(NEW) Equipment according to claim 2, characterised in that the mould housing (8) is made of steel.

7.(NEW) Equipment according to claim 2, characterised in that the mould housing includes two parts (8,9) with an intermediate cooling channel (14) where a thermally insulating annular plate (28) is arranged against the first part (8) which surrounds the permeable material (12, 12) in the cavity (17) in order to reduce the thermal transfer to the cavity.

8.(NEW) Equipment according to claim 3, characterised in that the mould housing includes two parts (8,9) with an intermediate cooling channel (14) where a thermally insulating annular plate (28) is arranged against the first part (8) which surrounds the permeable material (12, 12) in the cavity (17) in order to reduce the thermal transfer to the cavity.

#### **REMARKS**

The present Preliminary Amendment is submitted to delete the multiple dependencies of claims 3 and 4, thereby placing such claims in condition for examination and reducing the required PTO filing fee.

A copy of the amended portion of the claims with changes marked therein is attached and entitled "Version with Markings to Show Changes Made."

Respectfully submitted,

Inge JOHANSEN et al.

Michael S. Huppert

Registration No. 40,268

Attorney for Applicants

MSH/kjf Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 December 13, 2001

# Version with Markings to Show Changes Made

1U/009690

JC13 Rec'd PCT/PTO 13 DEC 2001

PCT/NO00/00222

6

#### Claims

1. Equipment for continuous, horizontal casting of metal, in particular aluminium, the equipment including an insulated reservoir or pool (2), which is designed to contain liquid metal, and a releasably provided mould (3), which can be removed from the pool (2), with an insulating plate (19) with holes (25, 26) which communicate with the mould, the mould (3) including a preferably circular mould cavity (17) with a wall (12, 13) of permeable material for the supply of oil and/or gas, which wall provides primary cooling to the metal being cast and at least one slit or nozzles (16) arranged along the circumference of the cavity for the direct supply of coolant, providing secondary cooling at the metal,

characterised in that the primary cooling is so designed that it may be increased or reduced.

2. Equipment according to claim 1,

characterised in that the insulating plate (19) is easily replaceable whereby it is provided with a protrusion (24) extending along the wall (12,13) of the cavity (17) and whereby the surface of and subsequently the cooling effect may be reduced or increased depending on the length of the protrusion (24).

3. Equipment according to claims 1 and 2,

characterised in that the mould housing (8) is made of steel.

4. Equipment according to claims 1-3,

characterised in that the mould housing includes two parts (8, 9) with an intermediate cooling channel (14) where a thermally insulating annular plate (28) is arranged against the first part (8) which surrounds the permeable material (12, 13) in the cavity (17) in order to reduce the thermal transfer to the cavity.

5. Equipment according to claim 4,

characterised in that the insulating plate (28) is exchangeable and may have different thickness.

10/009690 JC13 Rec'd PCT/PTO 13 DEC 2001 PCT/NO00/00222

WO 01/00353

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"Arrangement for equipment related to horizontal, continuous casting of metal"

<u>Equipment for continuous casting of metal, in particular aluminium</u>

The present invention concerns equipment for continuous, horizontal casting of metal, in particular aluminium, including an insulated reservoir or pool, which is designed to contain liquid metal, and a mould, which can be removed from the pool, with an insulating plate with holes which communicate with the mould. The mould includes a preferably circular mould cavity with a wall of permeable material, for example graphite, for the supply of oil and/or gas which wall provides primary cooling to the metal being cast, and at least one slit or nozzles arranged along the circumference of the cavity for the direct supply of coolant, providing secondary cooling to the metal.

As stated above, directly cooled horizontal casting equipment for continuous casting of metal in which oil and/or gas is supplied through the mould cavity wall through an annulus or a permeable wall element in order to form a lubricant film between the mould wall and the metal is already known.

Although this type of casting equipment functions reasonably well, the quality of the cast product is, however, much poorer than that of equivalent vertical casting equipment in which, in addition to oil, gas is also supplied through the cavity wall.

One of the disadvantages of vertical casting equipment is that it comprises a large number of moulds. This makes it expensive to produce.

Moreover, the vertical equipment is only designed to cast specific lengths in a semi-continuous process. This also makes it expensive to operate.

Casting with horizontal casting equipment involves the use of only a few moulds and the casting takes place continuously. Suitable lengths of the cast product are cut off during the casting operation. The continuous, horizontal casting equipment is thus both cheap to produce and cheap to operate.

One aim of the present invention was to produce horizontal equipment for continuous casting of metal, in particular aluminium, with which the quality of the cast product is as good as the quality of the equivalent cast product with vertical casting equipment. Further, an object with the present invention has been to provide equipment that is flexible with regard to casting different types of alloys.

The equipment in accordance with the present invention is characterised in that the primary cooling is designed to enable increased or reduced cooling of the metal being cast.

Dependent claims 2-5 define the advantageous features of the present invention.

The present invention will be described in the following in further detail using examples and with reference to the attached drawings, where:

- Fig. 1 shows, in part, in an elevation, the casting equipment for continuous horizontal casting of long objects, for example aluminium tie rods.
- Fig. 2 shows, in large scale, the mould shown in Fig. 1, a) in cross-section and b) in a longitudinal section.

As Fig. 1 shows, the casting equipment 1 in accordance with the present invention comprises an insulated metal reservoir or pool 2 and a mould 3. The pool 2 is provided with a lateral opening 4 to the mould 3, where a connecting ring 5 of thermally insulating material forms the transition between the pool and the mould 3. On its side, the mould is releasably attached to a holding device 6. Via a hinge link 7, it is possible to swing the holding device and thus the mould 3 from a position in which it is in contact with the connecting ring 5 to a swung-out position which makes it possible to remove (replace) or repair the mould.

The mould itself, which is shown in further detail in Fig. 2, comprises a two-part annular housing, of which a first main housing part 8 is provided with drilled holes 10,11 for the supply of oil or gas to interior, permeable cavity rings 12,13, while a second housing part 9 is provided with an annular recess which forms a water cooling channel 14. The two housing parts 8 and 9 are held together by means of a

WO 01/00353 PCT/NO00/00222

3

number of screws 15. When they are screwed together, as shown in the figure, a diagonal slit or gap 16 is formed between the two parts so that, during the casting operation, water flows from the channel 14 and through the gap 16 along the entire periphery of the cast product just outside the outlet of the cavity 17. Hereby a primary cooling circle (primary cooling of the metal being cast) is formed by transport of heat through the wall (13,14) and to the water in the channel 14, and a secondary cooling circle by the water being ejected directly on the metal through the slit 16.

As mentioned, permeable rings 12, 13, which are physically separated from each other by a gasket, sealing material 18 or similar, are included. These rings form the wall in the cavity 17.

An important feature of the present invention is that the annuli 20 (see Fig. 2, b)) formed between the mould housing 8 and the rings 12,13 are provided with plugs 21 or similar (only 2 shown in the drawing) so that the annuli 20 are broken up into two or more restrictions sectors as required. In this way, the supply of both gas and oil can be differentiated along the circumference of the cavity. Such differentiation, in particular of the gas supply, is important in order to be able to achieve a good casting result.

Supply of gas to horizontal casting equipment is previously not known. To avoid inclusion of excess gas in the metal under the casting operation a bore 29 is preferably provided in the upper part of the mould cavity. The bore stretches through the ring 12 to an annulus outside the ring to another bore (now shown) leading to the atmosphere.

At the inlet of the cavity 17, there is a plate 19 of thermally insulating material ("hot-top") which is held in place using a retaining ring 22 via a screw connection 23.

As the wall of the cavity 17, i.e. the rings 12, 13, forms the primary cooling area during the casting operation, the area of the wall surface will represent one of the factors which determine the cooling of the metal.

The insulating plate 19 may, depending on the type of alloy and the primary cooling required, extend along the ring 12 (at 24) somewhat.

WO 01/00353 PCT/NO00/00222

4

As the plate can be easily detached, it will be easy to replace the plate and thus cast different types of alloy in the same mould.

Otherwise, the casting equipment in accordance with the present invention works as follows:

Liquid metal, for example aluminium, is poured into the pool 2 from a casting furnace or similar (not shown). The metal flows through the opening 4 and the holes 25, 26 in the plate 19 into the cavity 17.

At the beginning of the casting operation, the outlet 27 in the mould 3 is closed using a mobile casting shoe (not shown). As soon as the metal has filled the cavity 17, the shoe begins to move, while water is supplied through the gap 16 and gas and oil are supplied through the ring 12, 13.

As the casting shoe moves and the cavity is refilled with metal via the pool, a long casting piece is formed. The shoe is removed as soon as the casting piece has reached a certain length. Since the casting process is continuous, the casting piece may actually be of any length. However, it is expedient for the casting piece to be cut (not shown) into suitable lengths for extrusion or other purposes.

As mentioned above, the casting equipment is designed for differentiated supply of oil and gas around the circumference.

In particular regarding the supply of gas, it has been found expedient to supply the same quantity of gas around the entire circumference of the cavity at the start of the casting process. Subsequently, when the casting process has started and has become stable, the gas supply to the upper area of the cavity is reduced or omitted.

Moreover, regarding the primary cooling, i.e. the cooling through the rings 12, 13 in the cavity 17, it has been found expedient, in order to reduce the cooling, to make the mould housing 8, of steel instead of aluminium, which is the usual material. Furthermore, in order to reduce the cooling further, it may be necessary to shield (reduce the thermal transfer to) the cooling channel 14 by arranging an insulating annular plate 28, for example of Plexiglas, on the side of the housing part which

faces the cooling channel. This may preferably be exchangeable and be of different thickness.

The invention as defined in the claims is not restricted to the embodiments shown in the drawings and described above. Thus, instead of two rings (12,13) forming the wall of the mould cavity, only one ring may be employed whereby the oil and gas may be supplied through this one and only ring.

#### Claims

1. Equipment for continuous, horizontal casting of metal, in particular aluminium, the equipment including an insulated reservoir or pool (2), which is designed to contain liquid metal, and a releasably provided mould (3), which can be removed from the pool (2), with an insulating plate (19) with holes (25, 26) which communicate with the mould, the mould (3) including a preferably circular mould cavity (17) with a wall (12, 13) of permeable material for the supply of oil and/or gas, which wall provides primary cooling to the metal being cast and at least one slit or nozzles (16) arranged along the circumference of the cavity for the direct supply of coolant, providing secondary cooling at the metal,

characterised in that the primary cooling is so designed that it may be increased or reduced.

- 2. Equipment according to claim 1,
- characterised in that the insulating plate (19) is easily replaceable whereby it is provided with a protrusion (24) extending along the wall (12,13) of the cavity (17) and whereby the surface of and subsequently the cooling effect may be reduced or increased depending on the length of the protrusion (24).
- 3. Equipment according to claims 1 and 2,
- characterised in that the mould housing (8) is made of steel.
- 4. Equipment according to claims 1-3,
- characterised in that the mould housing includes two parts (8, 9) with an intermediate cooling channel (14) where a thermally insulating annular plate (28) is arranged against the first part (8) which surrounds the permeable material (12, 13) in the cavity (17) in order to reduce the thermal transfer to the cavity.
- 5. Equipment according to claim 4,
- characterised in that the insulating plate (28) is exchangeable and may have different thickness.

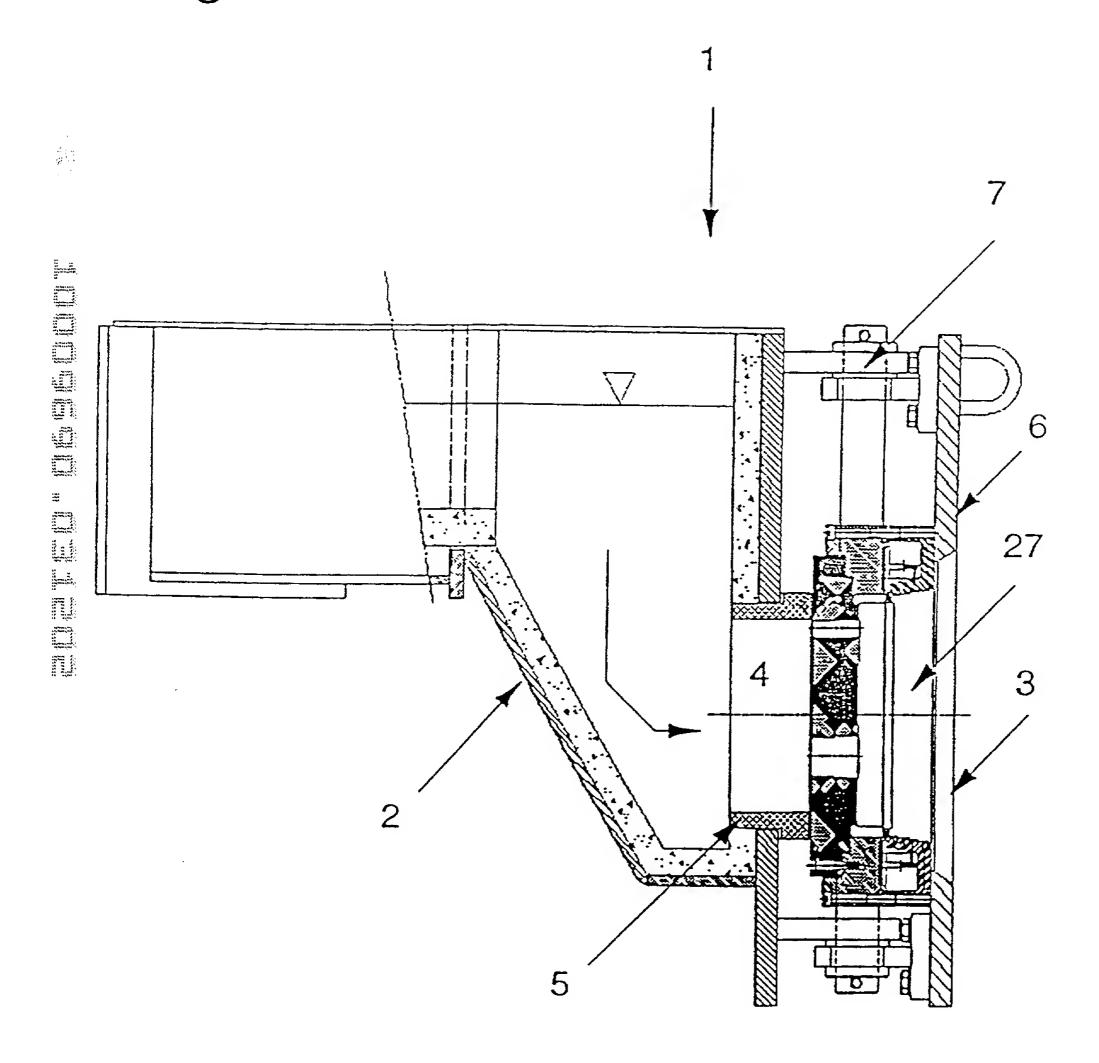
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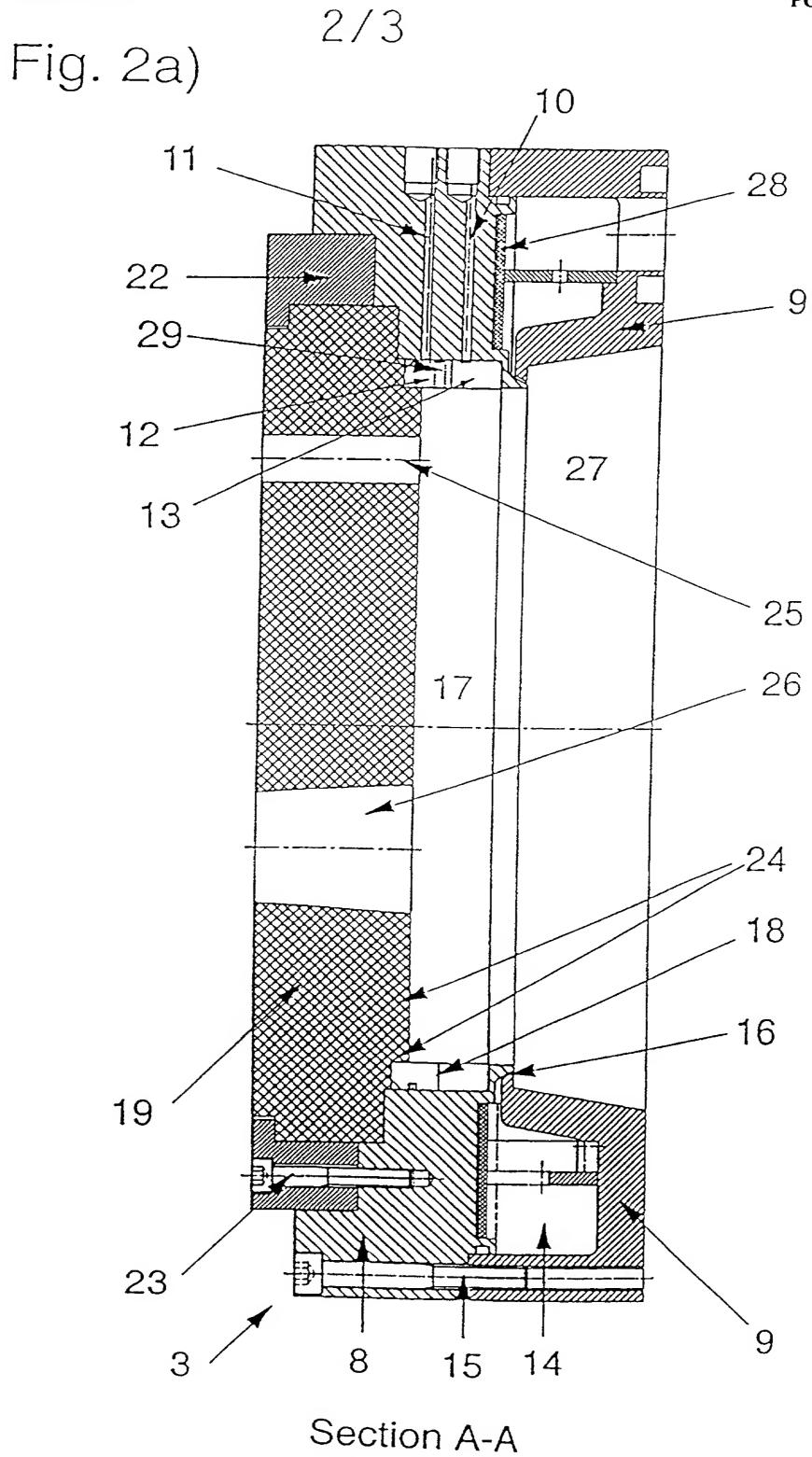
#### **Abstract**

Equipment for continuous, horizontal casting of metal, in particular aluminium, the equipment including an insulated reservoir or pool (2), which is designed to contain liquid metal, and a releasably attached mould (3), which can be removed from the pool (2), with an insulating plate (19) with holes (25, 26) which communicate with the mould, the mould (3) including a preferably circular mould cavity (17) with a wall (12, 13) of permeable material for the supply of oil and gas. The wall provides primary cooling to the metal being cast and at least one slit or nozzles (16) arranged along the circumference of the cavity for the direct supply of coolant, providing secondary cooling at the metal. The primary cooling is so designed that it may be increased or reduced. That the insulating plate (19) is replaceable and, depending on the type of alloy and the cooling required, is designed to extend along the permeable material (12, 13) (at 24) in the cavity (17).

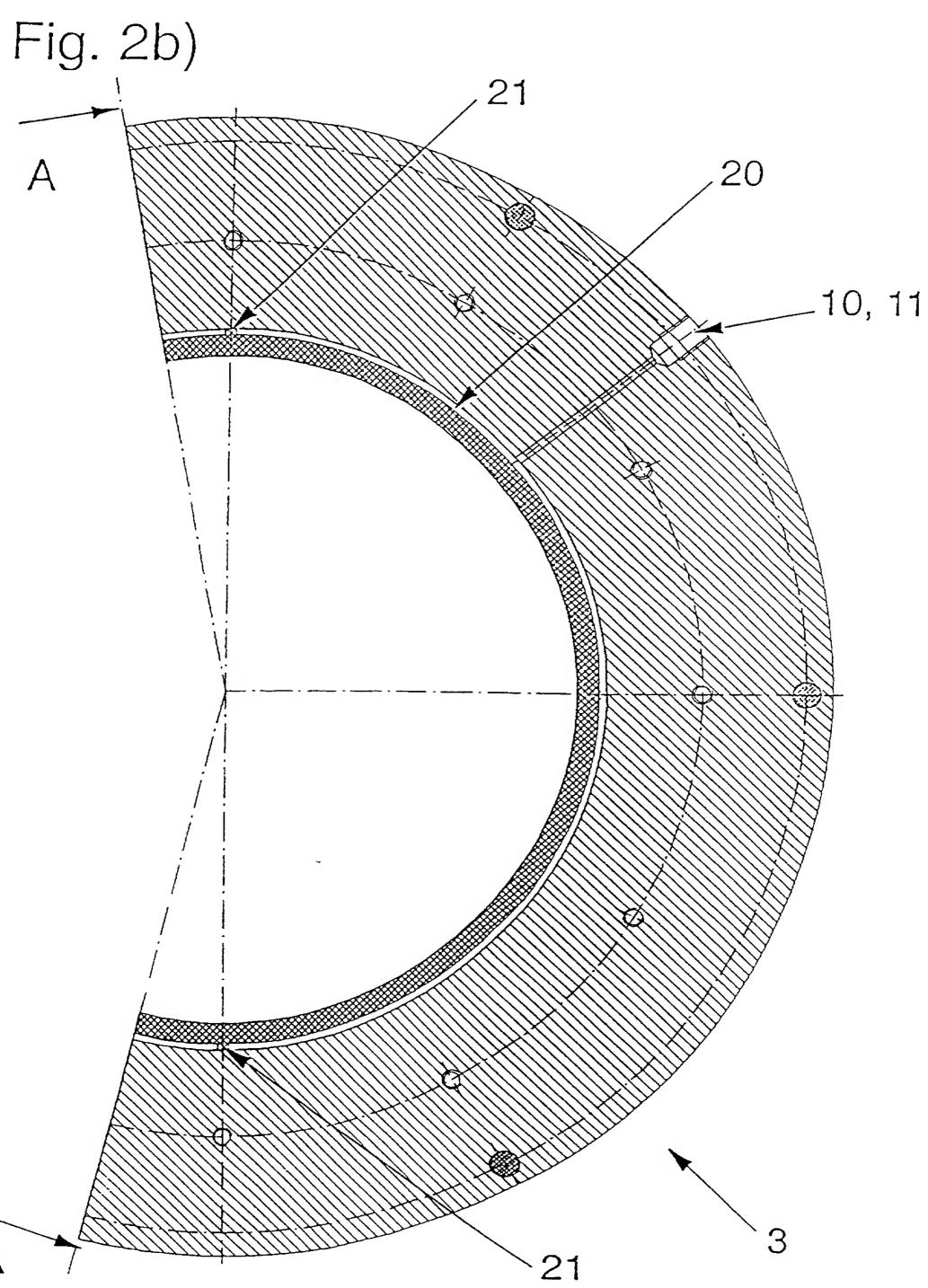
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Fig. 1











Rev. 5/30/01

#6

Effective March 1998

## DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION

() Original	() Supplemental () Substitute (X) PCT	() Design	
As a below named inventor, o my name; that I verily believe that I am the onventor (if plural inventors are named below) o	•	ne is listed below) or an original, first	t and joint
Title: "Arrangement for equipment related to	norizontal, continuous casting of metal "	<del></del>	
(derived from PCT/NO00/00222)	<del></del>	<del></del>	
of which is described and claimed in: () the attached specification, or () the specification in the application Serial No and with amendments through		;	
(x) the specification in International Application on (if	n No. PCT/ <u>NO00/00222</u> , filed <u>June 26, 20</u>	00, and as amended	
hereby state that I have reviewed and understanted to above.	and the content of the above-identified specific	ation, including the claims, as amend	led by any
acknowledge my duty to disclose to the Paten Title 37, Code of Federal Regulations, '1.56 hereby claim priority benefits under Title 35, or patent or inventor's certificate listed below a late before that of the application on which pri	5. United States Code, '119 (and '172 if this apend have also identified below any application for	plication is for a Design) of any appl	lication(s)
COUNTRY	APPLICATION NO.	DATE OF FILING PRIOR CLAIM	II II
Norway	19993157	June 25, 1999 Yes	<u>s</u>
hereby claim the benefit under Title 35, United matter of each of the claims of this application paragraph of Title 35, United States Code '11237, Code of Federal Regulations, '1.56 which calling date of this application.	is not disclosed in the prior United States at 2, I acknowledge the duty to disclose information	oplication in the manner provided be on material to patentability as define	y the first ed in Title
APPLICATION SERIAL NO.	U.S. FILING DATE	STATUS: PATENTED, PEND ABANDONED	ING,

And I hereby appoint Michael R. Davis, Reg. No. 25,134; Matthew M. Jacob, Reg. No. 25,154; Warren M. Cheek, Jr., Reg. No. 33,367; Nils Pedersen, Reg. No. 33,145; Charles R. Watts, Reg. No. 33,142; and Michael S. Huppert, Reg. No. 40,268, who together constitute the firm

of WENDEROTH, LIND & PONACK, L.L.P., as well as any other attorneys and agents associated with Customer No. 000513, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby authorize the U.S. attorneys and agents named herein to accept and follow instructions \_, as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and myself. In

the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by me.

Direct Correspondence to Customer No:



000513

PATENT TRADEMARK OFFICE

Direct Telephone Calls to:

WENDEROTH, LIND & PONACK, L.L P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021

Phone: (202) 721-8200 Fax.(202) 721-8250

Full Name of First Inventor	Johansen	FIRST GIVEN NAME Inge	SECOND GIVEN NAME
Residence & Citizenship	Sunndalsøra	Norway NOX	COUNTRY OF CITIZENSHIP Norway
Post Office Address	Address Håsenveien 35	CITY S N-6600 Sunnda	state or country zip code Ilsøra Norway

Full Name of	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
Second Inventor	Mæland city	Geir STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
Residence & Citizenship	Sunndalsøra	Norway //Ox	
Post Office Address	ADDRESS Bruflata 2	N-6600 Sunnda	alsøra Norway

Full Name of Third Inventor	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
	Strømsvåg	Åge		
Residence & Citizenship	Sunndalsøra	Norway LOX	COUNTRY OF CITIZENSHIP Norway	
Post Office Address	Einangveien 11 E	N-6600 Sunnd	state or country zip code lalsøra Norway	•

Full Name of Fourth Inventor	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY ZIP CODE

Full Name of	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME	
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Fifth Inventor				
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CIT	IZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE
Full Name of	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVE	EN NAME
Sixth Inventor				
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CIT	IZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE
Full Name of	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVE	EN NAME
Seventh Inventor				
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CIT	IZENSHIP
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE
be true; and furthe fine or imprisonme	r that these statements were n	of my own knowledge are true, and the nade with the knowledge that willful of Title 18 of the United States Contact thereon.	l false statements and th	e like so made are punishable
Inge t Inventor Johanse	200 10	inser	Date	19.12.01 20.12.01
Geir nd Inventor Mæland			Date	201201
Åge d Inventor Strømsv	råg Age 3ts	em sué g	Date	19/12-01
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th Inventor			Date	
The above applicat	ion may be more particularly	identified as follows:		•
J.S. Application Ser	ial No10/009,6	590	Filing Da	ate <u>December 13, 20</u> 0
pplicant Reference	Number P00038 Sy	TH: AMH	Atty Do	cket No. 2001–1827A
itle of Invention				